



Order Handling Practices for Listed and Over-the-Counter Derivatives

While the firm is holding your derivative (e.g., options, swaps, futures, convertible bonds, warrants, preferred shares or other derivatives or combinations thereof) order, the firm or its clients may engage in trading activity in the same or related products, including transactions in the underlying securities. While such trading activity is unrelated to your order, it may coincidentally impact the price of the derivative that you are buying or selling.