

GS&Co.
SELECTED FCM REGULATORY CAPITAL DATA
PER CFTC REGULATION 1.55(o)(1)(iv)
(\$ in thousands)

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
JANUARY 2021	28,496,582.9	22,558,222.0	18,680,778.5
DECEMBER 2020	27,840,949.4	22,381,682.1	18,448,475.5
NOVEMBER 2020	26,353,859.8	20,190,488.6	16,177,355.4
OCTOBER 2020	27,945,203.9	21,215,168.4	17,293,719.3
SEPTEMBER 2020	28,020,477.8	20,897,462.8	17,083,419.4
AUGUST 2020	27,512,172.4	20,945,832.1	17,013,702.5
JULY 2020	27,981,046.3	20,154,212.9	16,117,082.0
JUNE 2020	28,244,275.5	20,839,845.6	16,787,005.3
MAY 2020	28,096,764.7	19,089,618.1	15,210,558.8
APRIL 2020	27,167,652.0	19,731,764.3	15,615,415.4
MARCH 2020	25,957,250.1	18,660,795.2	14,261,691.6
FEBRUARY 2020	22,130,988.2	16,528,681.1	13,602,309.6

Amounts sourced from the GS&Co. FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5