

**GS&Co.**  
**SELECTED FCM REGULATORY CAPITAL DATA**  
**PER CFTC REGULATION 1.55(o)(1)(iv)**  
(\$ in thousands)

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
AUGUST 2023	28,754,155.7	23,220,197.6	18,310,886.6
JULY 2023	28,649,309.6	23,061,294.1	18,075,346.5
JUNE 2023	28,492,279.9	23,148,695.1	18,169,998.9
MAY 2023	28,713,559.9	22,793,076.4	17,745,510.6
APRIL 2023	28,401,848.7	22,678,233.1	17,584,380.9
MARCH 2023	27,955,280.7	22,533,929.0	17,665,718.9
FEBRUARY 2023	27,351,978.9	22,723,801.3	18,068,572.0
JANUARY 2023	27,261,822.7	22,721,081.8	17,892,198.3
DECEMBER 2022	26,623,607.1	22,213,994.1	17,462,826.0
NOVEMBER 2022	27,776,866.2	22,654,469.5	17,922,325.1
OCTOBER 2022	27,958,794.2	22,960,200.3	18,229,534.9
SEPTEMBER 2022	26,562,464.5	21,435,602.6	16,608,519.6

Amounts sourced from the GS&Co. FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5