

January 2010

**SPECIAL NOTICE REGARDING OPTIONS CLASSES RESTRICTED TO  
CLOSING ONLY TRANSACTIONS**

Dear Client:

On occasion, an options exchange may determine that an underlying security previously approved for exchange options transactions does not meet the then current requirements for continued listing on the exchange. Thus, the exchange may restrict transactions to closing transactions in such series of options.<sup>1</sup> Please be advised you are prohibited from entering opening transactions in a restricted options series. In addition, misrepresentation as to whether an order is opening or closing will constitute a violation of exchange rules and may result in disciplinary action.

Information regarding restricted options series can be found on the applicable options exchange's website. For your convenience, URL links are provided below. Please note that these URL links are susceptible to change.

NYSE Euronext: [http://www.nyse.com/attachment/amex\\_landing.htm](http://www.nyse.com/attachment/amex_landing.htm)  
NYSE Arca: <http://www.nyse.com/equities/nysearcaequities/1156241406908.html>  
Boston Options Exchange (BOX): [http://www.bostonoptions.com/index\\_en](http://www.bostonoptions.com/index_en)  
Chicago Board Options Exchange (CBOE): <http://www.cboe.com/>  
International Securities Exchange (ISE): <http://www.iseoptions.com/>  
NASDAQ OMX PHLX: <http://nasdaqomxphlx.cchwallstreet.com/nasdaqomxphlx/phlx/>  
NASDAQ Options Market: <http://nasdaq.cchwallstreet.com/>

If you have any questions pertaining to this notice, please contact your account representative. In the event your account is introduced to GSEC, GSEC is providing this information on behalf of your Introducing Broker and you should contact your Introducing Broker representative if you have any questions.

Sincerely,

Goldman Sachs Execution & Clearing, L.P.

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<sup>1</sup> Opening transactions by market-makers to accommodate closing transactions of other market participants and opening transactions by exchange member organizations to facilitate the closing transactions of public customers executed as crosses are permitted.