The Goldman Sachs Group, Inc.
(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of incorporation or organization) 13-4019460
(IRS Employer Identification No.)

200 West Street, New York, N.Y.
(Address of principal executive offices) 10282
(Zip Code)

(212) 902-1000
(Registrant's telephone number, including area code)

N/A
(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:
☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

<table>
<thead>
<tr>
<th>Title of each class</th>
<th>Trading Symbol</th>
<th>Exchange on which registered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common stock, par value $.01 per share</td>
<td>GS</td>
<td>NYSE</td>
</tr>
<tr>
<td>Depositary Shares, Each Representing 1/1,000th Interest in a Share of Floating Rate Non-Cumulative Preferred Stock, Series A</td>
<td>GS PrA</td>
<td>NYSE</td>
</tr>
<tr>
<td>Depositary Shares, Each Representing 1/1,000th Interest in a Share of Floating Rate Non-Cumulative Preferred Stock, Series C</td>
<td>GS PrC</td>
<td>NYSE</td>
</tr>
<tr>
<td>Depositary Shares, Each Representing 1/1,000th Interest in a Share of Floating Rate Non-Cumulative Preferred Stock, Series D</td>
<td>GS PrD</td>
<td>NYSE</td>
</tr>
<tr>
<td>Depositary Shares, Each Representing 1/1,000th Interest in a Share of 5.50% Fixed-to-Floating Rate Non-Cumulative Preferred Stock, Series J</td>
<td>GS PrJ</td>
<td>NYSE</td>
</tr>
</tbody>
</table>
Depositary Shares, Each Representing 1/1,000th Interest in a Share of 6.375% Fixed-to-Floating Rate Non-Cumulative Preferred Stock, Series K
5.793% Fixed-to-Floating Rate Normal Automatic Preferred Enhanced Capital Securities of Goldman Sachs Capital II
Floating Rate Normal Automatic Preferred Enhanced Capital Securities of Goldman Sachs Capital III
Medium-Term Notes, Series F, Callable Fixed and Floating Rate Notes due 2031 of GS Finance Corp.
Medium-Term Notes, Series E, Index-Linked Notes due 2028 of GS Finance Corp.

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 of the Exchange Act (17 CFR 240.12b-2).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐
Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(d) Effective May 24, 2021, The Goldman Sachs Group, Inc. (the Registrant) appointed Kimberley D. Harris as an independent member of the Registrant’s Board of Directors. Ms. Harris has also been appointed to each of the Registrant’s Corporate Governance and Nominating, Compensation and Public Responsibilities Committees.

A copy of the Registrant’s press release relating to this director appointment is being furnished as Exhibit 99.1 to this Current Report on Form 8-K. Exhibit 99.1 shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act) or otherwise subject to the liabilities under that Section and shall not be deemed to be incorporated by reference into any filing of the Registrant under the Securities Act of 1933 or the Exchange Act.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

The following exhibit is furnished as part of this Current Report on Form 8-K:


The following exhibits are filed as part of this Current Report on Form 8-K:

101 Pursuant to Rule 406 of Regulation S-T, the cover page information is formatted in iXBRL (Inline eXtensible Business Reporting Language).

104 Cover Page Interactive Data File (formatted in iXBRL in Exhibit 101).
Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

THE GOLDMAN SACHS GROUP, INC.
(Registrant)

Date: May 24, 2021

By: /s/ Kathryn H. Ruemmler

Name: Kathryn H. Ruemmler
Title: Executive Vice President, Chief Legal Officer and General Counsel
KIMBERLEY HARRIS JOINS GOLDMAN SACHS BOARD OF DIRECTORS

NEW YORK, May 24, 2021 — The Board of Directors of The Goldman Sachs Group, Inc. (NYSE: GS) today announced the appointment of Kimberley D. Harris as an independent director of the firm, effective immediately. Ms. Harris will also become a member of each of the firm’s Governance, Compensation and Public Responsibilities Committees.

Ms. Harris is an Executive Vice President of Comcast Corporation (since 2019) and Executive Vice President and General Counsel of NBCUniversal (since 2013).

Prior to serving in her current roles, Ms. Harris was a partner at Davis Polk & Wardwell LLP, a global law firm, having joined Davis Polk as an associate in 1997. From 2009 to 2010, Ms. Harris was Senior Counsel to the Assistant Attorney General, U.S. Department of Justice, Criminal Division and from 2010 to 2012, she served in the White House Counsel’s Office, including as principal Deputy Counsel and Deputy Assistant to the President from 2011 to 2012.

“We are excited to announce the addition of Kim to our Board. Kim will further enhance the diversity of skills and experiences represented on our Board. She has proven to be a trusted advisor across a range of roles in the public and private sectors, making her well-positioned to provide advice and insight across a broad spectrum of topics, including governmental, regulatory and public policy matters,” said David M. Solomon, Chairman and Chief Executive Officer of Goldman Sachs.

“Kim is a leader in her field with a differentiated perspective gained from working in senior positions in both the public and private sectors, including the White House and her current roles at Comcast and NBCUniversal. We look forward to benefitting from her seasoned judgment and her contributions to our Board across a wide range of topics,” said Adebayo O. Ogunlesi, Lead Director.

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The Goldman Sachs Group, Inc. is a leading global financial institution that delivers a broad range of financial services across investment banking, securities, investment management and consumer banking to a large and diversified client base that includes corporations, financial institutions, governments and individuals. Founded in 1869, the firm is headquartered in New York and maintains offices in all major financial centers around the world.

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